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PTO/SB/01 (12/97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | |
|--|------------------------|-------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input checked="" type="checkbox"/> Declaration Submitted with Initial Filing OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required) | Attorney Docket Number | 29288.4700 |
| | First Named Inventor | Tetsuo YUKIMASA |
| | COMPLETE IF KNOWN | |
| | Application Number | To be assigned |
| | Filing Date | December 21, 2001 |
| | Group Art Unit | To be assigned |
| | Examiner Name | To be assigned |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

EXTRACELLULAR RECORDING MULTIPLE ELECTRODE

the specification of which (Title of the invention)

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/12/2001 as United States Application Number or PCT International

Application Number: PCT/JP01/06067 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above:

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(e) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? |
|-------------------------------------|---------|----------------------------------|--|--|
| 2000-213531 | Japan | 07/13/2000 | <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> | YES <input type="checkbox"/> <input type="checkbox"/> NO <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) |
|-----------------------|--------------------------|
| | |
| | |

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

10019417-122101

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PTO/SB/01 (12/87)
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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
| PCT/JP01/06067 | 07/12/2001 | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
 As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transmit all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 20322 ☐ OR Registered practitioner(s) name/registration number listed below Place Customer Number Bar Code Label here

| Name | Registration Number | Name | Registration Number |
|------|---------------------|------|---------------------|
| | | | |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label 20322 OR ☒ Correspondence address below

| | | | | | |
|---------|---|-----------|--------------|-----|--------------|
| Name | Michael K. Kelly | | | | |
| Address | SNELL & WILMER, LLP | | | | |
| Address | One Arizona Center, 400 E. Van Buren Street | | | | |
| City | Phoenix | State | AZ | ZIP | 85004-2202 |
| Country | USA | Telephone | 602-382-6291 | Fax | 602-382-6070 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: Tetsuo YUKIMASA ☐ A petition has been filed for this unsigned inventor

| | | | | | |
|--|-----------------------------------|------------------------|-------|---------|----------|
| Given Name (first and middle (if any)) | | Family Name or Surname | | | |
| Tetsuo | | YUKIMASA | | | |
| Inventor's Signature | Tetsuo YUKIMASA | | | Date | 12/03/01 |
| Residence: City | Hirakata | State | Osaka | Country | Japan |
| Post Office Address | 10-1-206, Nishifunahashi 2-chome, | | | | |
| Post Office Address | Hirakata-shi, Osaka Japan | | | | |
| City | Hirakata | State | Osaka | ZIP | 573-1122 |
| | | | | Country | Japan |

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached

10019417-122101

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PTO/SB02A (11-00)

Approved for use through 10/31/2002. OMB 0851-0032

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| | | | |
|---|-------------------|---|----------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Hiroaki | | OKA | |
| Inventor's Signature | Hiroaki OKA | Date | 12/03/01 |
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| | | Country | Japan |
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| Mailing Address Hirakata-shi, Osaka Japan | | | |
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| | | Country | Japan |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Ryuta | | OGAWA | |
| Inventor's Signature | Ryuta Ogawa | Date | 12/06/01 |
| Residence: City | Moriguchi JPX | State | Osaka |
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| | | Citizenship | Japan |
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| Mailing Address Moriguchi-shi, Osaka Japan | | | |
| City | Moriguchi | State | Osaka |
| | | ZIP | 574-0031 |
| | | Country | Japan |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Hirokazu | | SUGIHARA | |
| Inventor's Signature | Hirokazu Sugihara | Date | 12/03/01 |
| Residence: City | Katano JPX | State | Osaka |
| | | Country | Japan |
| | | Citizenship | Japan |
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| Mailing Address | | | |
| City | Katano | State | Osaka |
| | | ZIP | 576-0054 |
| | | Country | Japan |

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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

To be attached to the application

PCT/JP01/06067

29288.4700

24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
|--|--------------|--------------|-----------|-------------------|
| Total claims | 10 - 20 = | 0 | x \$18.00 | \$0.00 |
| Independent claims | 1 - 3 = | 0 | x \$84.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/> | | | | \$280.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,170.00 |

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$1,170.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$1,170.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

\$40.00

TOTAL FEES ENCLOSED =

\$1,210.00

Amount to be:
refunded \$
charged \$

- a. ☒ A check in the amount of \$1,210.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2814. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Michael K. Kelly

NAME

32,848

REGISTRATION NUMBER

DATE

December 21, 2001